



## Securities Transfer Form

### Section I      This is a request to

- I wish to donate shares of stock to the University of Pennsylvania. Shares can be transferred directly from your brokerage account to Penn's account at Morgan Stanley. ***Please complete the form and send it to your broker to initiate the transfer and forward a copy of the form to the Securities Office via email to [STOCKGIFTS@LISTS.UPENN.EDU](mailto:STOCKGIFTS@LISTS.UPENN.EDU) or fax 215-573-5118.***
- I wish to donate mutual funds or other types of financial instruments to the University of Pennsylvania. Please contact or ask your broker to contact the University of Pennsylvania Securities Office at 215-898-7254 for further information.

### Section II      Donor Information

Name \_\_\_\_\_ Telephone \_\_\_\_\_

Address \_\_\_\_\_

City \_\_\_\_\_ State \_\_\_\_\_ Zip Code \_\_\_\_\_ Country \_\_\_\_\_

If Penn alumna/us: Degree year \_\_\_\_\_ School \_\_\_\_\_

### Section III      Gift Information

Broker/Advisor Name \_\_\_\_\_ Telephone \_\_\_\_\_

Brokerage Firm \_\_\_\_\_

# of Shares	Security Description	Ticker Symbol	Gift Designation

### Section IV      Security Instructions

**Firm:** Morgan Stanley Private Wealth Management  
Attention: Kristine Hankins, telephone: 610-260-8531

**DTC:** 0015

**Customer Name:** University of Pennsylvania    Tax ID: 23-1352685

**Account Number:** 858-010018

**Reference:** Donor's name

#### **Penn Contact Information:**

**Email/Telephone/Fax**    **email:** [STOCKGIFTS@LISTS.UPENN.EDU](mailto:STOCKGIFTS@LISTS.UPENN.EDU)    **telephone:** 215-898-7254    **fax:** 215-573-5118

**Address:** 2929 Walnut Street, Suite 300  
Philadelphia, PA 19104-5099

**In order for the University to properly credit the gift, it is important that you or your broker notify the Securities Office of the gift.**